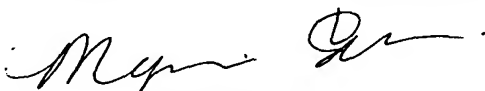


01-07-02

PCT
JCTO Rec'd PCT/PTO 04 JAN 2002

FORM PTO-1390		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	
TRANSMITTAL LETTER TO THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US) CONCERNING A FILING UNDER 35 U.S.C. 371			
ATTORNEY DOCKET NUMBER IN-5550	U.S. APPLICATION NO. (IF KNOWN SEE 37 CFR 1.5) 10/030307		
INTERNATIONAL APPLICATION NO. PCT/EP00/06286	INTERNATIONAL FILING DATE 5 July 2000 (5.7.2000)	PRIORITY DATE CLAIMED 12 July 1999 (12.07.1999)	
TITLE OF INVENTION: AQUEOUS COATING SUBSTANCE, METHOD FOR ITS PRODUCTION AND ITS USE			
APPLICATION(S) FOR DO/EO/US: Lutz-Werner GROSS, Ludwig MOORKAMP, Heinz-Ulrich GRUMPE, and Ulrich POTH			
Applicant herewith submits to the United States Designated/Elected Office (DO/EO/US) the following items and other information:			
1. <input checked="" type="checkbox"/> This is a FIRST submission of items concerning a filing under 35 U.S.C. 371. 2. <input type="checkbox"/> This is a SECOND or SUBSEQUENT submission of items concerning a filing under 35 U.S.C. 371. 3. <input type="checkbox"/> This express request to begin national examination procedures (35 U.S.C. 371(f)) at any time rather than delay examination until the expiration of the applicable time limit set in 35 U.S.C. 371 (b) and PCT Articles 22 and 39(1). 4. <input type="checkbox"/> A proper Demand for International Preliminary Examination was made by the 19th month from the earliest claimed priority date 5. <input checked="" type="checkbox"/> A copy of the International Application as filed (35 U.S.C. 371(c)(2)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input checked="" type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> is not required, as the application was filed in the United States Receiving Office (RO/US) 6. <input checked="" type="checkbox"/> A translation of the International Application into English (35 U.S.C. 371(C)(2)). 7. <input checked="" type="checkbox"/> Amendment to the claims of the International Application under PCT Article 19 (35 U.S.C.371(c)(3)) a. <input type="checkbox"/> are transmitted herewith (required only if not transmitted by the International Bureau). b. <input type="checkbox"/> have been transmitted by the International Bureau. c. <input type="checkbox"/> have not been made; however, the time limit for making such amendments has NOT expired. d. <input checked="" type="checkbox"/> have not been made and will not be made 8. <input type="checkbox"/> A translation of the amendments to the claims under PCT Article 19 (35 U.S.C. 371(c)(3)). 9. <input type="checkbox"/> An oath or declaration of the inventor(s) (35 U.S.C. 371(c)(4)). 10. <input type="checkbox"/> A translation of the annex to the International Preliminary Examination Report under PCT Article 36 Items 11. to 16. below concern other document(s) or information included: 11. <input checked="" type="checkbox"/> An Information Disclosure Statement under 37 CFR 1.97 and 1.98. 12. <input type="checkbox"/> An assignment document for recording. A separate cover sheet in compliance with 37 CFR 3.28 and 3.31 is included 13. <input checked="" type="checkbox"/> A FIRST preliminary amendment. <input type="checkbox"/> A SECOND or SUBSEQUENT preliminary amendment. 14. <input type="checkbox"/> A substitute specification. 15. <input type="checkbox"/> A Change of power of attorney and/or address letter. 16. <input checked="" type="checkbox"/> Other items or information: A copy of the cover sheet from the PCT Published Application Cover Page of Priority Document			

I hereby certify that the attached correspondence is being deposited with the United States Postal Service in an envelope as "Express Mail Post Office to Addressee" Mailing Label No. **ET332278329US** addressed to the Commissioner for Patents, Washington, D.C. 20231 on January 4, 2002.



Mariorie Ellis

U.S. APPLICATION NO. <u>10,030,307</u> (known see 37 C.F.R. 1.50)		INTERNATIONAL APPLICATION NO. PCT/EP00/06286		ATTORNEY'S DOCKET NUMBER IN-5550	
17. <input checked="" type="checkbox"/> The following fees are submitted				CALCULATIONS PTO USE ONLY	
Basic National Fee (37 CFR 1.492(a)(1)-(5)): Neither international preliminary examination fee (37 CFR 1.482) Nor international search fee (37 CFR 1.445(a)(2)) paid to USPTO and International Search Report not prepared by the EPO or JPO.....				\$970.00	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but International Search Report prepared by the EPO or JPO.....				\$890.00	
International preliminary examination fee (37 CFR 1.482) not paid to USPTO but international search fee (37 CFR 1.44(a)(2)) paid to USPTO.....				\$690.00	
International preliminary examination fee (37 CFR 1.482) paid to USPTO but all claims did not satisfy provisions of PCT Article 33(1)-(4).....				\$670.00	
International preliminary examination fee (37 CFR 1.482) paid to USPTO and all claims satisfied provisions of PCT Article 33(1)-(4)				\$ 96.00	
ENTER APPROPRIATE BASIC FEE AMOUNT =				\$890.00	
Surcharge of \$130.00 for furnishing the oath or declaration later than <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(e)).				\$130.00	
Claims	Number Filed	Number Extra	Rate		
Total Claims	38 - 20 =	18	X \$18.00	\$324.00	
Independent claims	03 - 03 =	0	X \$80.00	\$	
Multiple dependent claims(s) (if applicable)			+ \$270.00	\$	
TOTAL OF ABOVE CALCULATION =				\$1,344.00	
Reduction by 1/2 for filing by small entity, if applicable. Verified Small Entity statement must also be filed. (Note 37 CFR 1.9, 1.27, 1.28).				\$	
SUBTOTAL =				\$1,344.00	
Processing fee of \$130.00 for furnishing the English translation later the <input type="checkbox"/> 20 <input type="checkbox"/> 30 months from the earliest claimed priority date (37 CFR 1.492(f)).				\$	
TOTAL NATIONAL FEE =				\$1,344.00	
Fee for recording the enclosed assignment (37 CFR 1.21(h)). The assignment must be accompanied by an appropriate cover sheet (37 CFR 3.28, 3.31). \$40.00 per property +				\$	
TOTAL FEES ENCLOSED =				\$1,344.00	
				Amount to be: refunded	\$
				Charged	\$1,344.00

- a. ☐ A check in the amount of \$_____ to cover the above fees is enclosed.
- b. ☒ Please charge my Deposit Account No. 23-3425 in the amount of \$1,344.00 to cover the above fees.
A triplicate copy of this sheet is enclosed.
- c. ☒ The Commissioner is hereby authorized to charge any additional fees which may be required, or credit any
overpayment to Deposit Account No. 23-3425. A triplicate copy of this sheet is enclosed.
If appropriate time limit under 37 CFR 1.495 has not been met, a petition to revive (37 CFR
1.137(a) or (b)) may be filed and granted to restore the application to pending status.

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PATENT

(Practitioner's Docket No. IN-5550)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Lutz-Werner et al.

Serial No.: This application is a National
Phase of Patent Application PCT/EP00/06286
filed 05 July 2000.

Group Art Unit: Not Assigned

Filed: January 4, 2002

Examiner: Not Assigned

For: AQUEOUS COATING SUBSTANCE, METHOD FOR ITS PRODUCTION AND ITS USE
Commissioner of Patents
Washington, D.C. 20231

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Date of Deposit January 4, 2002

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☒ German Application PCT/EP 99/06286

Specification on	<u>59</u>	pages;	claims on	<u>09</u>	pages;
the abstract on	<u>02</u>	page(s);	drawings on		page(s).

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Marjorie Ellis

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complete application, specification and drawings, responses to rejection or refusal, notice of appeal, etc. if the serial number of the application is not known, the identification should include at least the name of the inventor(s) and the title of the invention.

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PATENT

(Practitioner's Docket No. IN-5550)

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of

Lutz-Werner et al.

Serial No.: This application is a National
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Group Art Unit: Not Assigned

Filed: January 4, 2002

Examiner: Not Assigned

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 Washington, D.C. 20231

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Date of Deposit

January 4, 2002

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☒ English Application PCT/EP 99/06286

Specification on 79 pages; claims on 12 pages;
 the abstract on 02 page(s); drawings on page(s).

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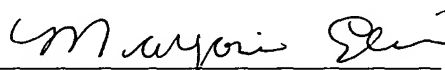
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107/030307
64 JAN 2002

PATENT

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Filed: January 4, 2002

Examiner: Not Assigned

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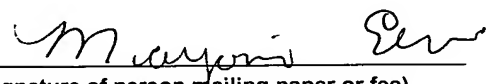
☒ Cover page of Published PCT-application

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Washington, D.C. 20231

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<input checked="" type="checkbox"/>	From PTO-1449
<input checked="" type="checkbox"/>	Cited References
<input checked="" type="checkbox"/>	International Search Report

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Group Art Unit: Not Assigned

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Examiner: Not Assigned

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Washington, D.C. 20231

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